

**JUPITER**  
**FATCA IGA & CRS Self-Certification**  
**Entity**

Please read before completing this form:

Tax authorities require [FI name] to collect and report certain information about Account Holder's tax residency status.

To enable [FI name] to comply with its obligation to report to the relevant tax authorities, you are required to state the residency for tax purposes of the person or persons identified as the holder(s) of a Financial Account. On this form these persons are cumulatively referred to as the "Account Holder(s)".

**I. Account Holder Information**

**Account Holder** - The person listed or identified as the holder of a Financial Account. The name of the Account Holder should be provided. If the person opening the account is not a Financial Institution and is acting as an intermediary, agent, custodian, nominee, signatory, investment advisor or legal guardian on behalf of one or more other account holders this form must be completed by or on behalf of that other person who is referred to as the Account Holder.

Legal Entity Name <b>Jupiter European Opportunities Trust plc</b>	Country of Incorporation or Organisation <b>United Kingdom</b>
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Permanent Residence Address (if none, Address of Principal Office)

The Zig Zag Building, 70 Victoria Street

City/Town <b>London</b>	State/Province	Postal Code <b>SW1E 6SQ</b>	Country (do not abbreviate) <b>United Kingdom</b>
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GIIN (if any) <b>G 0 Y W M G . 9 9 9 9 9 . S L . 8 2 6</b>	Sponsoring Entity's Name (if entity is a sponsored entity and providing sponsor's GIIN)
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**II. Tax Residence**

Please provide details for all jurisdictions in which the Account Holder is resident for tax purposes.

If the entity has no residence for tax purposes, enter the country in which its place of effective management is situated.

**Taxpayer Identification Number (TIN)** - A taxpayer identification number (TIN) or functional equivalent should be provided for each country of residence for tax purposes identified. A TIN is a unique combination of letters and/or numbers assigned to the individual by a government, or its tax authorities, which is used to identify the account holder for the purposes of administering taxes. In some countries this may be a number that is specifically referred to as a TIN, while other countries may use other numbers (such as a social insurance number) to identify their residents for tax purposes.

Country of Tax Residence 1 <b>United Kingdom</b>	Taxpayer Identification Number 1 <b>29620 25164</b>	TIN Unavailable: <input type="checkbox"/>
Country of Tax Residence 2	Taxpayer Identification Number 2	TIN Unavailable: <input type="checkbox"/>
Country of Tax Residence 3	Taxpayer Identification Number 3	TIN Unavailable: <input type="checkbox"/>

**TIN Unavailable Explanation(s)** - If any "TIN Unavailable" box is checked, please provide an explanation.

I certify the tax residence countries provided represent all countries in which the entity is considered a tax resident.

If Account Holder has additional countries of tax residence please attach a statement to this form containing the Country and TIN for each.

**III. CRS Status**

**FINANCIAL INSTITUTION** - A entity that falls into any of the following categories:

- Custodial Institution** - any entity that holds, as a substantial portion of its business, Financial Assets for the account of others. See Annex for definition of "substantial portion".
- Depository Institution** - An entity that accepts deposits in the ordinary course of a banking or similar business.
- Investment Entity** - any Entity that either:
  - primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer:
    - trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc); foreign exchange, exchange, interest rate and index instruments; transferable securities; or commodity futures trading; ii) individual and collective portfolio management; or iii) otherwise investing, administering, or managing Financial Assets or money on behalf of other persons; OR
    - the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by a Financial Institution.
- Specified Insurance Company** - any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

**Is the Account Holder a Financial Institution?**

If "Yes" complete the Financial Institution certification

Yes

No

If "No" complete the Nonfinancial Entity certification

**Financial Institution (FI)**

Is the entity an Investment Entity managed by an FI?

(See Financial Institution definition above - section 3(b))

Yes If any tax residence country provided is not a participating CRS jurisdiction, then complete the Controlling Persons Attachment.

No

**Nonfinancial Entity (NFE)**

Select the category of NFE which applies to the entity.

See Annex for definitions of NFE categories. Select only single category.

If the category is "Passive NFE", then complete the Controlling Persons attachment.

Governmental Entity, International Organisation, or Central Bank

A corporation the stock of which is regularly traded on an established securities market (or entity related to such a corporation)

Name of Securities Market: \_\_\_\_\_

Name of Related Entity: \_\_\_\_\_

A Start-up Company formed in the past 24 months

Please provide the date of the entity was organised: \_\_\_\_\_

Other Active NFE (See Annex for other categories)

Passive NFE (Complete Controlling Persons attachment)

## IV. FATCA Status

**U.S. Person** - A corporation or partnership organized in the United States, the U.S. government or any state within the U.S. or the District of Columbia, a trust if a court within the United States is able to exercise primary jurisdiction over the administration of the trust and one or more United States persons have the authority to control all substantial decisions of the trust, or an estate the income of which, from sources without the United States which is not effectively connected to a U.S. trade or business, is includible in gross income for U.S. tax purposes.

**Specified U.S. Person** - Any U.S. person other than:

- a publicly traded corporation, or a corporation that is a member of the same expanded affiliated group as a publicly traded corporation
- a tax exempt organization (as defined in section 501(a))
- an individual retirement plan (as defined in section 7701(a)(37))
- the United States or an agency or instrumentality of the United States or any state (including District of Columbia and United States possessions) or state authorities
- a bank (as defined in section 581)
- a real estate investment trust (as defined in section 856)
- a regulated investment company (as defined in section 851)
- an entity registered with the SEC under the Investment Company Act of 1940
- a common trust fund (as defined in section 584(a)) or a tax exempt trust (as defined in section 664(c) or 4947(a)(1))
- a registered dealer, or a registered broker (as defined in section 6045(c)).

### Is the Account Holder a U.S. Person?

If "Yes" complete the U.S. Person certification

Yes

No

If "No" complete the U.S. Person certification

#### U.S. Person

Is the entity a specified U.S. person?

(See U.S. Person definition above)

Yes Provide a U.S. TIN below.

No

U.S. Taxpayer Identification Number (TIN)

#### Non-U.S. Person

Select a classification that matches your FATCA status:

See Annex for definitions of IGA categories.

Select only a single category.

If the category is Passive NFFE, then complete the Controlling Persons attachment.

Participating FFI (Provide GIIN in Part I)

Local/Partner Jurisdiction FFI (Provide GIIN in Part I)

Deemed-Compliant FFI

Select deemed-compliant category:

Trustee-Documented Trust (Provide GIIN and trustee name in Part I)

Sponsored Investment Vehicle (Provide GIIN and sponsor's name in Part I)

Registered-Deemed Compliant FFI (Provide GIIN in Part I)

Other Deemed-Compliant Category

Nonparticipating FFI

Exempt Beneficial Owner

Direct Reporting NFFE (Provide GIIN in Part I)

Sponsored Direct Reporting NFFE (Provide GIIN and sponsor's name in Part I)

A Start-up Company formed in the past 24 months

Please provide the date of the entity was organised: \_\_\_\_\_

Other Active NFFE (See Annex for other categories)

Passive NFFE (Complete Controlling Persons attachment)

## V. Declarations and Signature

- I understand that the information supplied by me in this Certification (including any attachments hereto) is covered by the full provisions of the terms and conditions governing my/the Account Holder's relationship with [FI name] setting out how [FI name] may use and share the information supplied by me to [FI name].
- I acknowledge and agree that information contained in this Certification (including any attachments hereto) and information regarding the account(s) set out above may be reported to the tax authorities of the country in which the account is maintained and that those tax authorities or [FI Name] may provide the information to the country or countries in which I/the Account Holder am/is resident for tax purposes.
- I undertake to advise [FI name] promptly of any change in circumstances which causes the information contained herein to become incorrect and to provide [FI name] with a suitably updated Certification and/or attachment within 30 days of such change in circumstances.
- I certify that I am authorised to sign for the Account Holder of all the account(s) to which this form relates.
- I declare that all statements made on this form/in this Certification (including any attachments hereto) are, to the best of my knowledge and belief, true, correct and complete. I agree that I will submit a new certification within 30 days if any information on this form becomes incorrect.

Name of Signer

Richard Pavry

Capacity in which Signing

Company Secretary

Date (DD/MM/YYYY)

28/02/2017

Signature

